

# Auction Authorisation Form – Trading Client

Pursuant to Euronext Clearing Regulations and Instructions (hereinafter, the “Regulations”),

the..... [hereinafter: **Clearing Member**]

hereby communicates:

**to participate indirectly through the** ..... [hereinafter: **Trading Client**] as (tick the appropriate box(s))

**Voluntary Auction Participant in the following Section(s):**

Share Section

Euronext Equity Section

Equity Derivatives Section

Euronext Equity Derivatives Section

Euronext Commodity Derivatives Section

Bond Section

ICSD Bond Section

and/or

**Mandatory Auction Participant in the Section(s) where it operates and where has been selected by Euronext Clearing in accordance with the Regulations**

The Clearing Member and the Trading Client accept to act in accordance with the rules of the Regulations governing the auction, the rules concerning the participation to the tests organized by Euronext Clearing and the confidentiality obligations and, in this specific regard, the Clearing Member and the Trading Client acknowledge and accept that the information concerning the auction are highly confidential and fall under the scope of the rules governing the privileged information under the

Legislative Decree no. 58/98 and must be treated with the utmost confidentiality by them and by their employees, the Default Management Coordinators (DMP) indicated below or in the Annex 1 (in case of change) and/or any consultants involved in the process.

In accordance with Article 8, including paragraph 8.3 of General Condition Part I, which governs the activities hereunder, the Clearing Member continues to be fully and solely responsible for any activities performed by the Trading Member in relation to this mandate.

**The Trading Client** hereby notifies that the Default Management Coordinator (DMP) delegated and empowered to manage the auction procedure of its behalf according to the Regulations is:

First name and family name .....

Tel. ....

Mobile .....

e-mail .....

Position held in the Company .....

**The Clearing Member** hereby notifies that the Default Management Coordinator (DMP) delegated and empowered to manage the auction procedure of its behalf according to the Regulations is:

First name and family name .....

Tel. ....

Mobile .....

e-mail .....

Position held in the Company .....

Place and date: .....

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(Signature of the Legal/Contractual Representative of the Clearing Member)

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(First name and family name)

**For acceptance of the mandate received**

Place and date: .....

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(Signature of the Legal Representative of the Trading Client)

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(First name and family name)

Pursuant to and for the purposes of Articles 1341 and 1342 of the Italian Civil Code, the Clearing Member expressly accepts the liability provision above and Art. B.6.2.1 *sexies* (Auction) of the Euronext Clearing Regulations.

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(Signature of the Legal/Contractual Representative of the Clearing Member)

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(First name and family name)

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This Form and where applicable the Annex 1 must be digitally signed and returned to the Client Services & Onboarding Department at the following address: [ccp-readiness@euronext.com](mailto:ccp-readiness@euronext.com)

In case of changes of the information contained in this Form, including the section selected, the Form must be resubmitted to Euronext Clearing.

**Annex 1 to the Action Authorization Form****Default Management Coordinator (DMP) change**

**The Trading Client appointed** by the Clearing Member .....hereby notifies that the Default Management Coordinator (DMP) delegated and empowered to manage the auction procedure of its behalf according to the Regulations and Instructions is:

First name and family name .....

Tel. ....

Mobile .....

e-mail .....

Position held in the Company .....

In case of changes of the DMP the Trading Client shall communicate in advance the new DMP at least 2 working days before the effectiveness of the substitution.

This delegation revokes and substitutes automatically the powers assigned to

.....

\_\_\_\_\_

(Place and date)

\_\_\_\_\_

(Signature of the Legal Representative) of the Trading Member

\_\_\_\_\_

(First name and family name)



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**Annex 1 to the Action Authorization Form**

**Default Management Coordinator (DMP) change**

**The Clearing Member** notifies that the Default Management Coordinator (DMP) delegated and empowered to manage the auction procedure of its behalf according to the Regulations and the Instructions is:

First name and family name .....

Tel. ....

Mobile .....

e-mail .....

Position held in the Company .....

In case of changes of the DMP the Clearing Member shall communicate in advance the details of the new DMP at least 2 working days before the effectiveness of his/her replacement.

This delegation revokes and substitutes automatically the powers granted to  
.....

\_\_\_\_\_  
(Place and date)

\_\_\_\_\_  
(Signature of the Legal/Contractual Representative) of the Clearing Member

\_\_\_\_\_



(First name and family name)

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