
Market Notice

CBS 150_eng
18 June 2014

Cross Border Securities Services

Clarification with regards to compliance certification requests with FATCA regulation for Monte Titoli participants

Dear client,

Following our previous communication of June 11th, we wish to provide additional clarification with reference to the set of forms required to guarantee compliance with the FATCA regulation.

We would like to highlight that FATCA certification is to be provided for every account opened in Monte Titoli (owner, third party and settlement accounts) and not for each client on a global level.

For this reason and in order to facilitate the completion of the declaration forms (W8 and W9), we would like to clarify the information we must receive, depending on the accounts held by each client:

- **W9:** this form has to be used by a US client or a branch of a US bank
- **W8 BEN-E:** this form has to be used by non US clients for own property account
- **W8-IMY:** this form has to be used by non US clients for third party and settlement accounts

As mentioned above, one form is required for each account held at Monte Titoli (if a client holds 1 property, 1 third party and 5 settlement accounts, it has to complete 7 forms).

Finally, with reference to the "Withholding Statement", the form to be used for such reporting is now available on our website at the following link:



London
Stock Exchange Group

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<http://www.montetitoli.it/cross-border/mercatifinanziari/statiuniti/with01.en.pdf>

For further information, please refer to:

Client Support

client@lseg.com

phone: +39 02 33635.640

Fiscal Services

helpdesk.fiscal@lseg.com

phone: +39 02 33635.39

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